

ECE 754

* TURN IN PS 1

* PICK UP 1 HANDOUT

* DO IN-CLASS PROBLEM

(NOT A QUIZ - CAN

USE NOTES, ETC.)

IN-CLASS PROBLEM

SUPPOSE THAT YOU ARE WORKING WITH A LINEAR SONAR ARRAY. IT HAS 25 SENSORS WITH 2 METER SPACING. YOU WANT TO RECEIVE NARROWBAND SIGNALS WITH CENTER FREQUENCY OF 75 HZ. THE SPEED OF SOUND UNDERWATER IS 1500 M/S.

- a) ASSUMING A UNIFORMLY-WEIGHTED ARRAY, WHAT IS THE MAINLOBE WIDTH OF THE FREQ-WAVENUMBER RESPONSE? GIVE YOUR ANSWER IN TERMS OF k_z .

$$\text{M.L. WIDTH} = \frac{4\pi}{dN} = \frac{4\pi}{2.25} = \frac{4\pi}{50}$$

$$\text{NULL-NULL}$$

$$\text{NO ALIASING: } d \leq \frac{\lambda}{2} = \frac{c/f}{2} = \frac{20M}{2}$$

$$d \leq 10M$$

- b) SUPPOSE YOU WANT TO INCREASE THE RESOLUTION OF THE ARRAY. WHICH OF THE FOLLOWING WOULD YOU DO:

i) ADD SENSORS, KEEPING THE OVERALL LENGTH OF THE ARRAY (I.E., LENGTH OF CABLE SENSORS ARE ON) THE SAME.

ii) KEEP SAME NUMBER OF SENSORS, BUT SPREAD THEM OUT OVER A LONGER CABLE.

EXPLAIN. IS THERE A LIMIT TO # OF SENSORS AND/OR LENGTH OF CABLE THAT SHOULD BE USED?

LAST TIME: PLANEWAVE MODEL FOR SPATIAL SIGNALS

$$f(t, \mathbf{p}) = \iint F(\omega, \mathbf{k}) e^{j(\omega t - \mathbf{k}^T \mathbf{p})} d\omega d\mathbf{k}$$

↑ ↑
TIME LOCATION $\mathbf{p} = \begin{bmatrix} x \\ y \\ z \end{bmatrix}$

MULTIDIMENSIONAL FOURIER SYNTHESIS

BASIS FUNCTIONS: PLANEWAVES

$$e^{j(\omega t - \mathbf{k}^T \mathbf{p})}$$

NARROWBAND

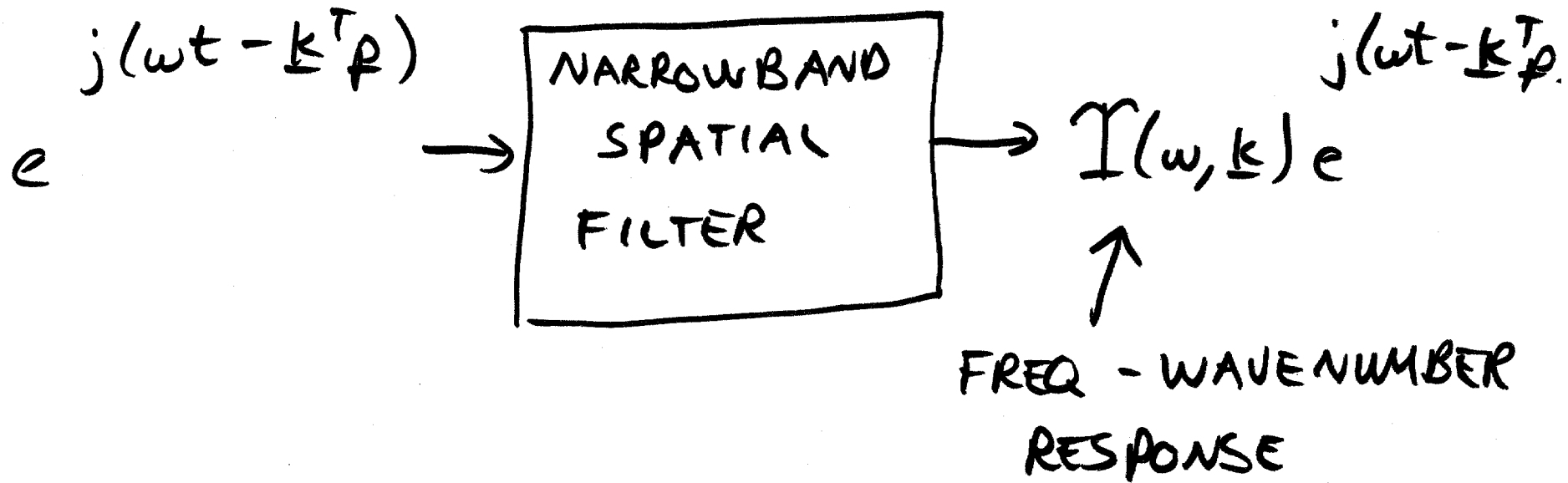
\mathbf{k} = WAVENUMBER

λ = WAVELENGTH

$$= -\frac{2\pi}{\lambda} \underline{u} = -\frac{2\pi}{\lambda} \begin{bmatrix} \sin\theta \cos\phi \\ \sin\theta \sin\phi \\ \cos\theta \end{bmatrix}$$

SPATIAL FILTERING :

4/



FREQ - WAVENUMBER RESPONSE FOR SENSOR ARRAY:

$$I(\omega, \mathbf{k}) = \mathbf{w}^H \mathbf{v}_k(\mathbf{k})$$

\mathbf{w} = WEIGHT VECTOR

$\mathbf{v}_k(\mathbf{k})$ = ARRAY MANIFOLD VECTOR
"REPLICA VECTOR"

LAST TIME: LINEAR, EQUAL-SPACED ARRAY

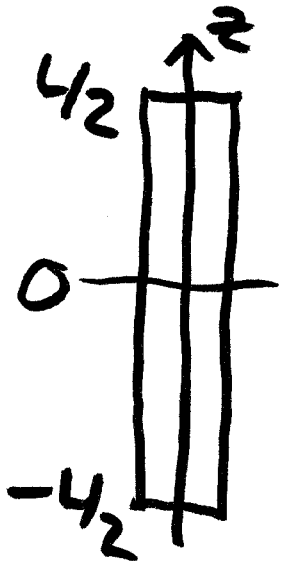
5/



$$r_{zn} = \left(n - \left(\frac{N-1}{2} \right) d \right)$$

$$I(\omega, \underline{k}) = \frac{1}{N} \frac{\sin \left(\frac{Nk_z d}{2} \right)}{\sin \left(\frac{k_z d}{2} \right)}$$

EXTEND RESULTS TO CONTINUOUS APERTURE:



MEASUREMENTS ON CONTINUUM

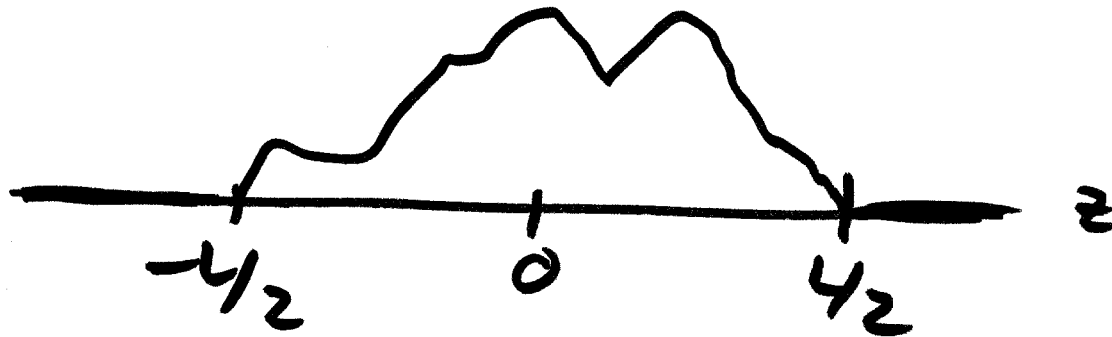
$$-\frac{L}{2} \leq z \leq \frac{L}{2}$$

MEASURE NB SIGNAL $F(\omega, z)$

FREQ - WAVE # RESP. FOR APERTURE

$$I_a(\omega, k_z) = \int_z w_a^*(z) e^{-jk_z z} dz$$

$w_a(z)$ = CONTINUOUS APERTURE WEIGHTING FXN



$I_a(\omega, k_z)$ = SPATIAL FOURIER TRANSFORM OF $w_a^*(z)$

SPATIAL FREQ. RESP. OF APERTURE

CONSIDER: UNIFORMLY WEIGHTED APERTURE

EX1

$$w_a(z) = \begin{cases} \frac{1}{L} & |z| \leq L/2 \\ 0 & \text{OTHERWISE} \end{cases}$$

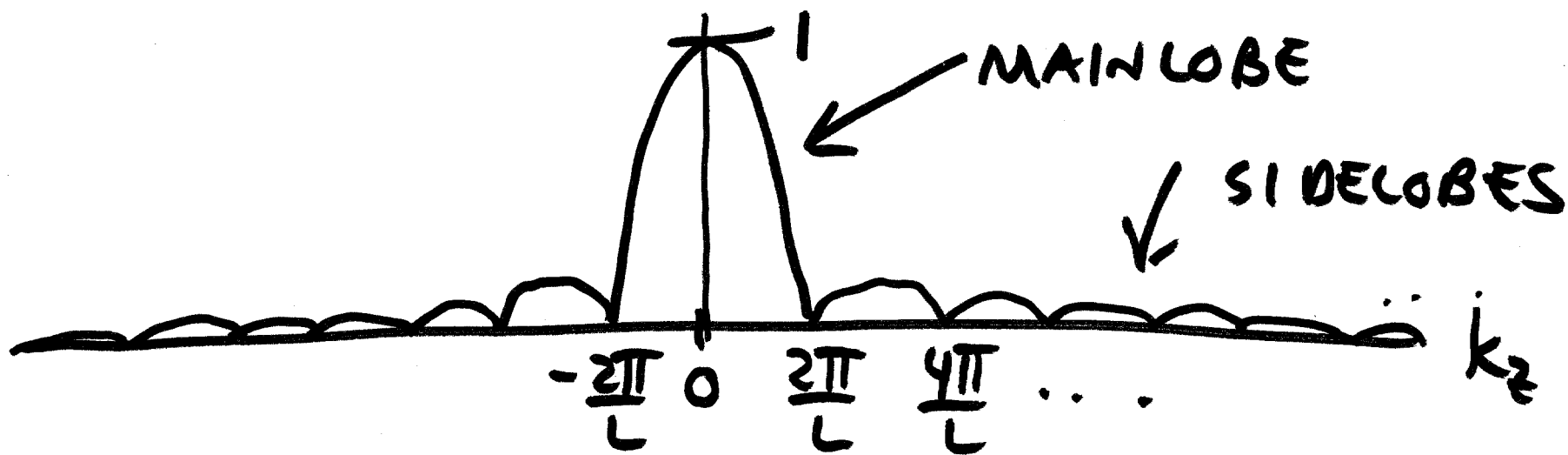
$$I_a(\omega, k_z) = \int_{-L/2}^{+L/2} \frac{1}{L} e^{-jk_z z} dz = \frac{1}{L} \cdot \frac{1}{-jk_z} e^{-jk_z z} \Big|_{-L/2}^{+L/2}$$

$$= \frac{-1}{jk_z L} \left[e^{-jk_z L/2} - e^{+jk_z L/2} \right]$$

$$= \frac{\sin\left(\frac{k_z L}{2}\right)}{\frac{k_z L}{2}} = \text{sinc}\left(\frac{k_z L}{2}\right)$$

~~sinc~~ $\text{sinc}(x) = \frac{\sin(x)}{x}$

$$|I_a(\omega, k_z)|$$



1ST ZERO CROSSING

$$\frac{k_z L}{2} = \pi M$$

$$k_z = \frac{2\pi M}{L}$$

HEIGHT AT 0

L'HOPITAL'S

$$\frac{\frac{d}{dk_z} \left(\sin\left(\frac{k_z L}{2}\right) \right)}{\frac{d}{dk_z} \left(\frac{k_z L}{2} \right)} \Bigg|_{k_z=0} = 1$$



WHAT DETERMINES RESOLUTION OF THIS
APERTURE?

$$ML \text{ WIDTH} = \frac{4\pi}{L}$$

AS $L \rightarrow$ INCREASES, RESOLUTION
GETS BETTER

SEPARATING PLANEWAVES IN SPACE
IS ANALOGOUS TO SEPARATING SINUSOIDS
IN TIME

TO SEPARATE ^{CLOSE} SINEWAVES, TAKE LONG
TIME SAMPLES



IF WE INCREASE L , HOW DO
SIDELOBES CHANGE?

WIDTH BETWEEN S.L. RIPPLES NARROWS

SPACE BETWEEN RIPPLES CHANGES

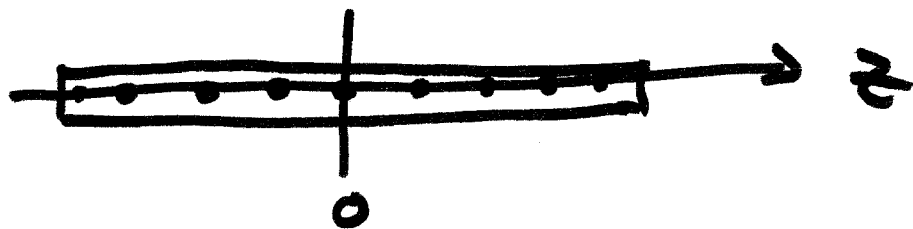
PEAK S.L. HEIGHT DOES NOT

ONLY WAY TO CHANGE S.L. STRUCTURE
IS TO CHANGE $w_a(z)$

SMOOTHER



WE CAN VIEW AN ARRAY AS SAMPLED APERTURE



TAKE N SAMPLES (N ODD)
 SAMPLE SPACING = d

FROM PS 1: MODEL FOR SAMPLING
 MULT. BY IMPULSE TRAIN

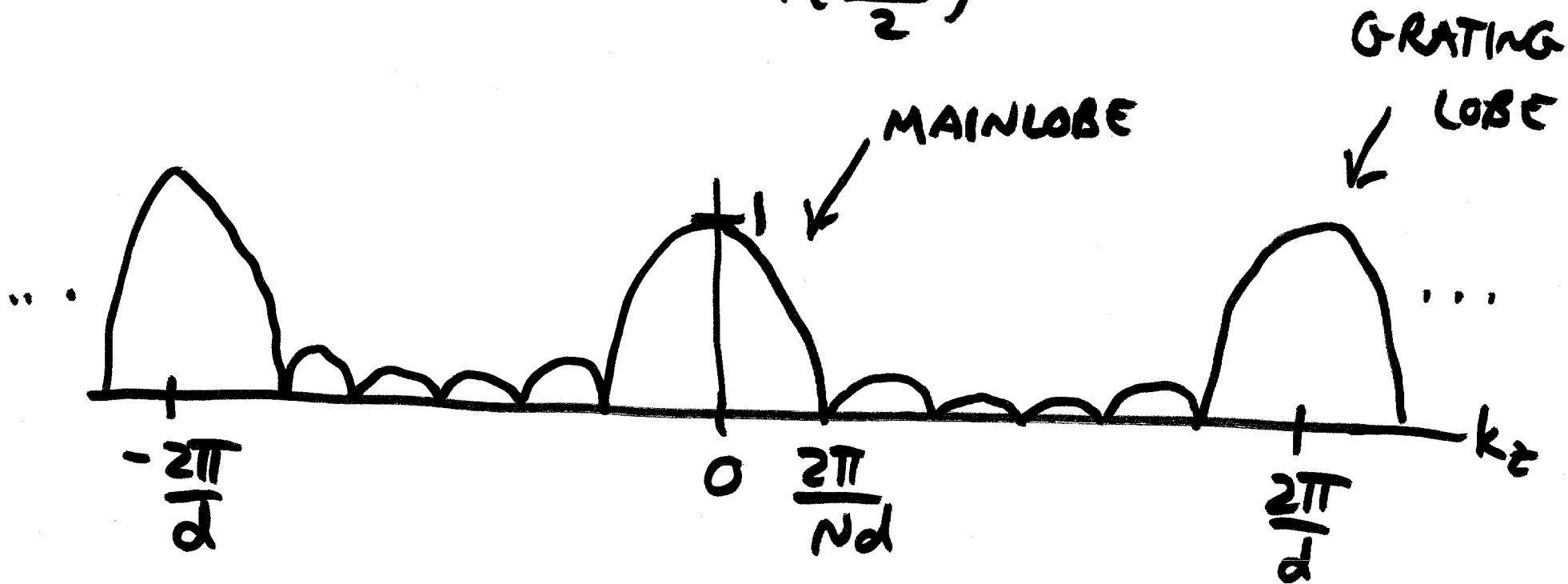
$$w[n] = w_a(z) \sum_{n=-\infty}^{+\infty} \delta(n - dz)$$

$$I(\omega, k_z) = \frac{1}{2\pi} I_a(\omega, k_z) * \sum_{n=-\infty}^{+\infty} \delta(k_z - n \frac{2\pi}{d})$$

$$I(\omega, k_z) = \sum_{n=-\infty}^{\infty} I_a(\omega, k_z - \frac{2\pi}{d}n)$$

↑ COPY OF I_a EVERY $\frac{2\pi}{d}$

$$= \frac{1}{N} \frac{\sin\left(\frac{Nk_z d}{2}\right)}{\sin\left(\frac{k_z d}{2}\right)}$$



SAMPLED APERTURE HAS PERIODIC STRUCTURE 13

GRATING LOBES: REGULAR INTERVALS

k_z -SPACE: EVERY $\frac{2\pi}{d}$ SPATIAL
SAMPLE
FREQ.

ψ -SPACE: EVERY 2π $\psi = -k_z d$

u_z -SPACE: EVERY $\frac{\lambda}{d}$ $u_z = -\frac{\lambda}{2\pi} k_z$

SPATIAL SPECTRUM IS BANDLIMITED:

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VISIBLE REGION:
$$-\frac{2\pi}{\lambda} \leq k_z \leq \frac{2\pi}{\lambda}$$

B.L. TO
$$\frac{2\pi}{\lambda}$$

NYQUIST: SAMPLE FREQ > 2 (HIGHEST)

$$\frac{2\pi}{d} > 2 \left(\frac{2\pi}{\lambda} \right)$$

$$d < \frac{\lambda}{2}$$

TO HAVE AN APERTURE W/ SAME M.L.
WIDTH AS A LINEAR ARRAY

$$\frac{2\pi}{L} = \frac{2\pi}{Nd}$$

$$L = Nd$$

L = APERTURE LENGTH

$(N-1)d$ = LENGTH OF
ARRAY

AS $d \rightarrow 0$: ARRAY BECOMES
AN APERTURE

SUMMARIZE: SAMPLING ANALYSIS

PERIODIC SAMPLING RESULTS IN

- GRATING LOBES AT $\frac{2\pi}{d}$ IN k_z

- NO ALIASING IF $d < \frac{\lambda}{2}$

- RESOLUTION: ML WIDTH

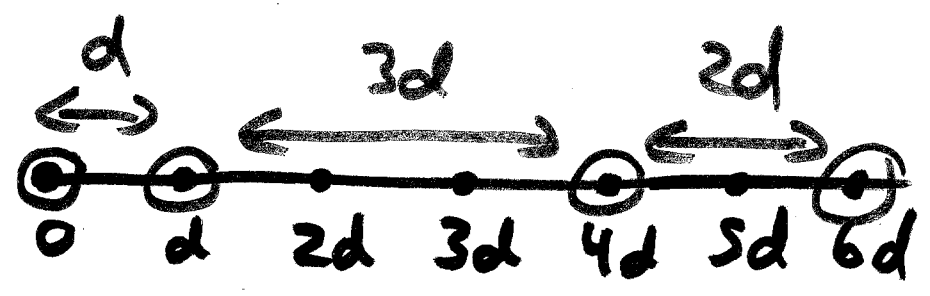
INVERSELY PROPORTIONAL TO LENGTH

NON-UNIFORM SPACING

IF THERE IS AN UNDERLYING GRID
THEN, WE'LL GET GRATING LOBES

AT $\frac{2\pi}{d_{GRID}}$

CO-ARRAY



AVOID GRATING LOBES + STILL HAVE
LONG APERTURE W/ NON-UNIFORM SPACING

ARRAY STEERING

TWO OPTIONS:

①

MECHANICAL STEERING

ROTATE ARRAY SO

DESIRED SIGNAL IS

AT $\theta = \pi/2$

ELECTRICAL STEERING

CHANGE w SO THAT

M.L. OF $I(w, k)$ SHIFTS TO

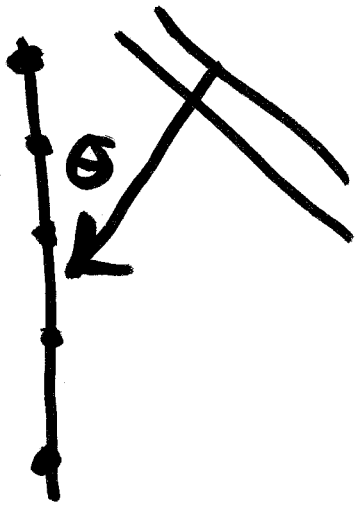
$k_T =$ DESIRED WAVE #

[?]

HOW TO CHANGE WEIGHTS

$$\underline{w} = \underline{v}_k(k_T)$$

SPATIAL MATCHED
FILTER



TIME ALIGN + THEN
ADD UP

TIME SHIFT + ADD

NB SIGNAL : TIME-SHIFT \rightarrow PHASE
SHIFT
 $e^{j\omega_0 t}$

CALCULATED PHASE SHIFTS LAST TIME

$$e^{+jk^T \rho}$$

$$\Rightarrow \underline{w} = \frac{1}{N} \underline{v}_k(k_T) \quad \underline{k}_T = \text{STEERING DIRECTION}$$

RESULTING FREQ - WAVE # RESP.

$$I_{\text{STEER}}(\omega, \underline{k}) = I(\omega, \underline{k} | \underline{k}_T) = \underline{w}^H \underline{v}_k(\underline{k})$$

$$= \frac{1}{N} \underline{v}_k^H(\underline{k}_T) \underline{v}_k(\underline{k})$$

CONVENTIONAL
BEAMPATTERN

$$= I(\omega, \underline{k} - \underline{k}_T)$$

↑ SIMPLE SHIFT OF ORIGINAL
FREQ - WAVE # FXN

FOR A LINEAR ARRAY:

$$B_{\text{STEER}}(k_z | k_T) = B(k_z - k_T)$$

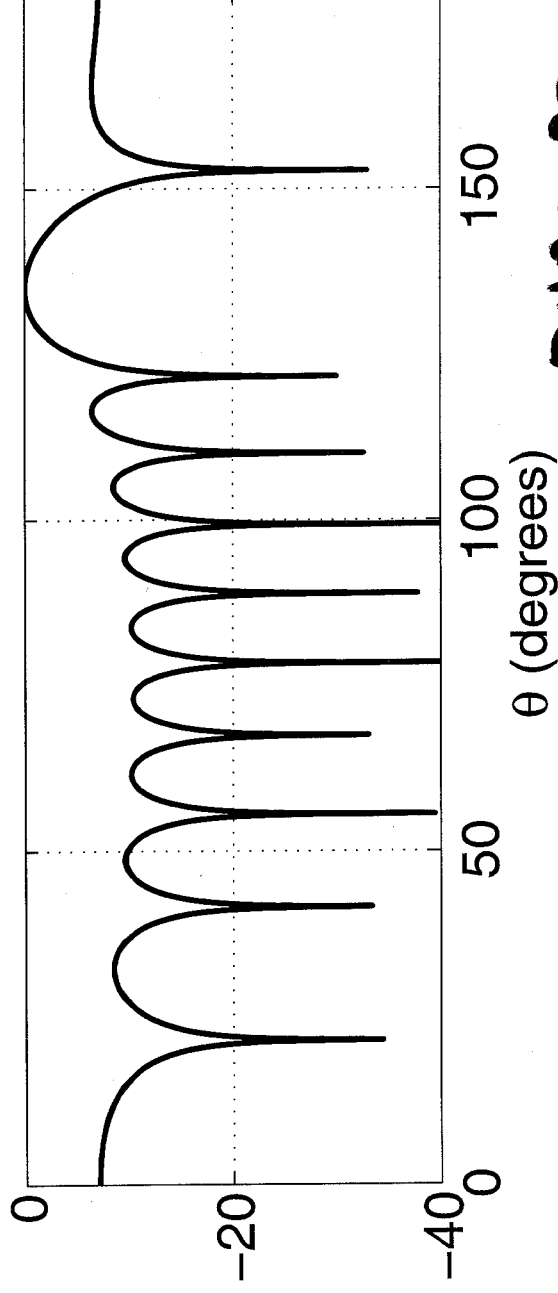
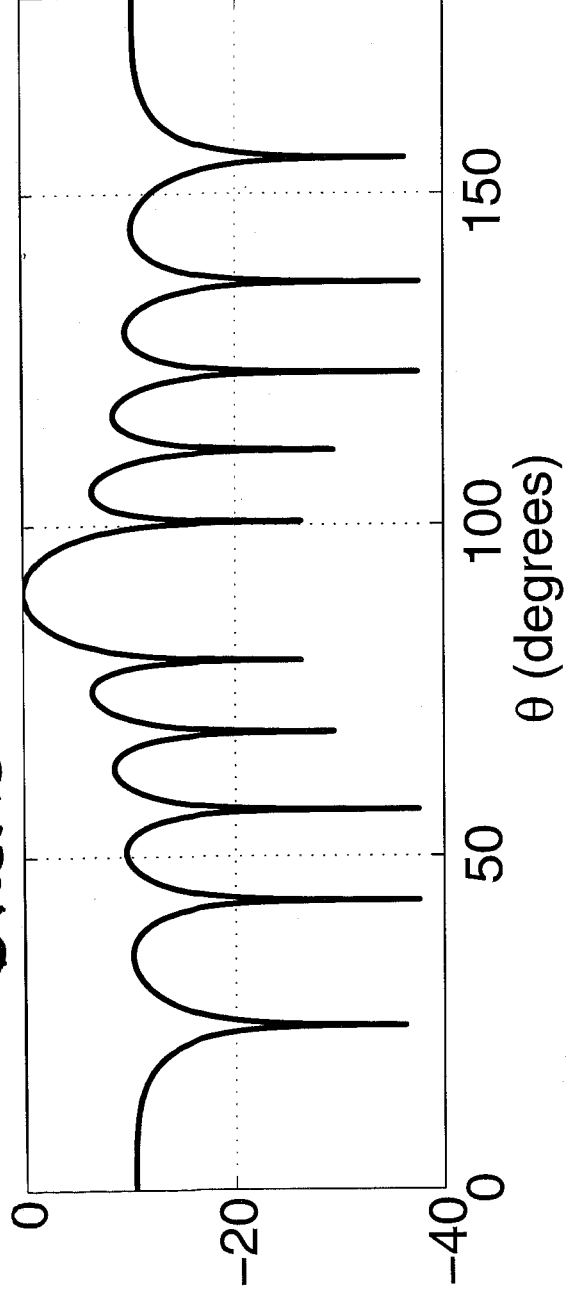
$$B_{\text{STEER}}(\psi | \psi_T) = B(\psi - \psi_T)$$

$$B_{\text{STEER}}(u_z | u_T) = B(u_z - u_T)$$

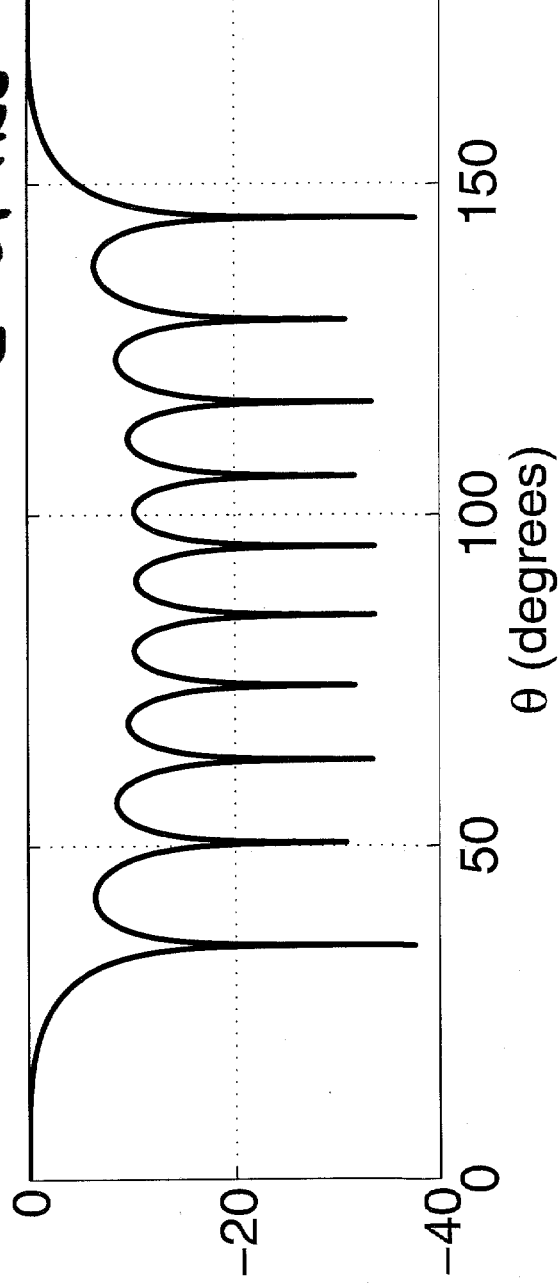
✓ SIMPLE
SHIFTS

NOT TRUE
IN Θ !

BROADSIDE



ENDFIRE

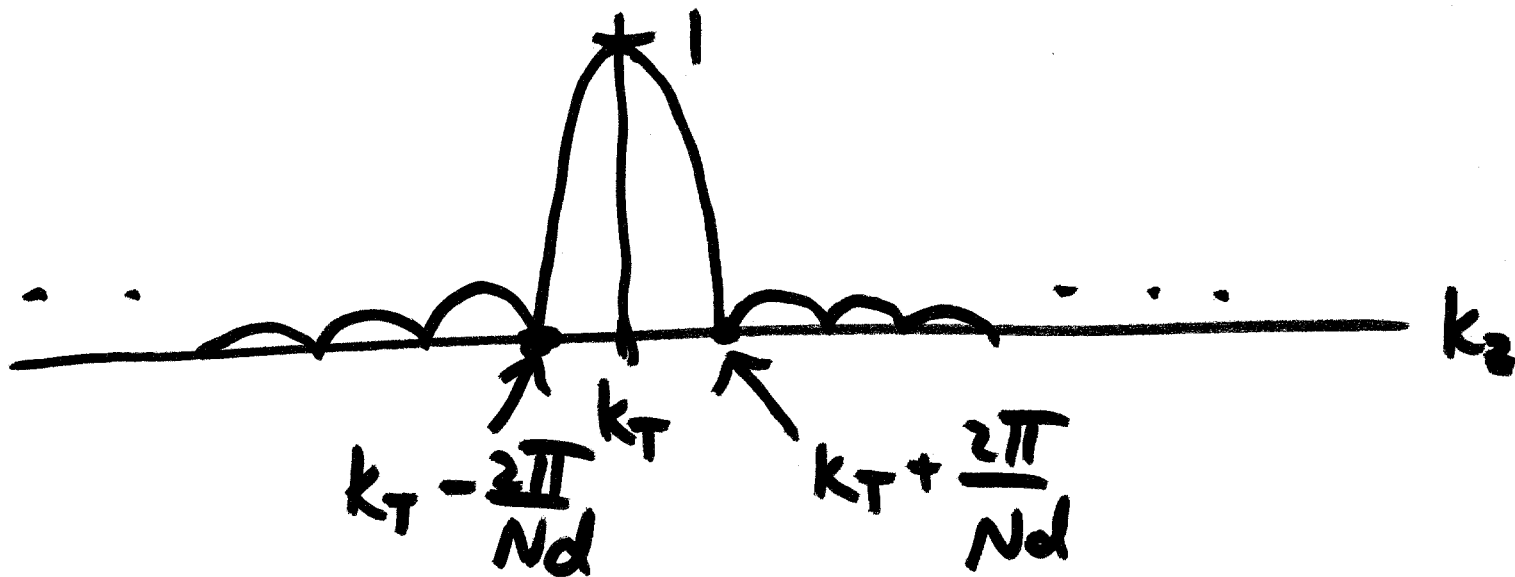


NONLINEAR SCALING:

$$k_z = -\frac{2\pi}{\lambda} \cos \Theta$$

$$\Theta = \alpha \cos \left(-\frac{\lambda}{2\pi} k_z \right)$$

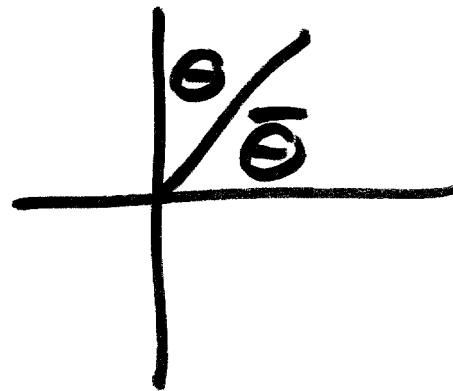
PLOT IN k_z , STEERED PATTERN:



$$k_{\text{null}} = k_T \pm \frac{2\pi}{Nd}$$

$$-\frac{2\pi}{\lambda} \cos(\theta_{\text{null}}) = -\frac{2\pi}{\lambda} \cos(\theta_T) \pm \frac{2\pi}{Nd}$$

$$\bar{\theta} = \frac{\pi}{2} - \theta$$



$$\cos(\theta) = \sin(\bar{\theta})$$

$$\rightarrow -\frac{2\pi}{\lambda} \sin(\bar{\theta}_{\text{null}}) = -\frac{2\pi}{\lambda} \sin(\bar{\theta}_T) \pm \frac{2\pi}{Nd}$$

$$\bar{\theta}_{\text{null}} = \bar{\theta}_T \pm (\Delta\theta)$$

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$$\sin(\bar{\theta}_T \pm \Delta\theta) = \sin(\bar{\theta}_T) \pm \frac{\lambda}{Nd}$$

FIND FORMULA FOR $\pm \Delta\theta = \bar{\theta}_T - a \sin\left(\sin(\bar{\theta}_T) \pm \frac{\lambda}{Nd}\right)$

2 CASES: BROADSIDE

$$\bar{\theta}_T = 0$$

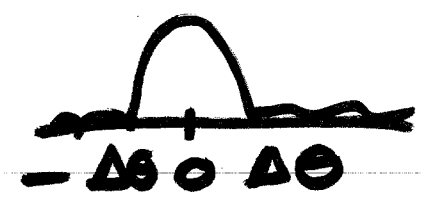
$$\sin(0 \pm \Delta\theta) = \sin(0) \pm \frac{\lambda}{Nd}$$

$$\sin(\pm \Delta\theta) = \pm \frac{\lambda}{Nd}$$

$$\Delta\theta = a \sin\left(\frac{\lambda}{Nd}\right)$$

SMALL ANGLE APPROX.

$$\Delta\theta \approx \frac{\lambda}{Nd}$$



CASE 2 : ENDFIRE $\bar{\theta}_T = \pi/2$

$$\sin\left(\frac{\pi}{2} \pm \Delta\theta\right) = \sin\left(\frac{\pi}{2}\right) \pm \frac{\lambda}{Nd}$$

$$\sin\left(\frac{\pi}{2}\right)\cos(\Delta\theta) \pm \cancel{\cos\left(\frac{\pi}{2}\right)}\overset{0}{\sin(\Delta\theta)} = \sin\left(\frac{\pi}{2}\right) \pm \frac{\lambda}{Nd}$$

$$\cos(\Delta\theta) = 1 \pm \frac{\lambda}{Nd}$$

$$1 - \cos(\Delta\theta) = \mp \frac{\lambda}{Nd}$$

TRIG: $1 - \cos(\alpha) = 2 \sin^2\left(\frac{\alpha}{2}\right)$

$$2 \sin^2\left(\frac{\Delta\theta}{2}\right) = \mp \frac{\lambda}{Nd}$$

$$\sin\left(\frac{\Delta\theta}{2}\right) = \sqrt{\frac{\lambda}{2Nd}}$$

SMALL ANGLE: $\sin(x) \approx x$

$$\frac{\Delta\theta}{2} \approx \sqrt{\frac{\lambda}{2Nd}}$$

$$\Delta\theta \approx \sqrt{\frac{2\lambda}{Nd}}$$

SUMMARY: BROADSIDE: $\Delta\theta \approx \frac{\lambda}{Nd}$

ENDFIRE: $\Delta\theta \approx \sqrt{\frac{2\lambda}{Nd}}$

BETTER RESOLUTION AT BROADSIDE

DIRECTIVITY

$$P(\theta, \phi) = \text{POWER PATTERN} = |B(\omega, \theta, \phi)|^2$$

$$D = \frac{P(\theta_T, \phi_T)}{\frac{1}{4\pi} \int_0^\pi d\theta \int_0^{2\pi} d\phi \sin\theta P(\theta, \phi)}$$

NORMALIZE SO $P(\theta_T, \phi_T) = 1$

STANDARD LINEAR ARRAY: EQUAL-SPACING

$$d = \frac{\Delta}{2}$$

$$D = \frac{1}{\|\underline{w}^H \underline{w}\|^2}$$

MAXIMIZED FOR
UNIFORM WEIGHTING

$$\mathbb{E}\{\underline{n}\} = 0$$

$$\mathbb{E}\{\underline{n}\underline{n}^H\} = \sigma_n^2 \mathbf{I}$$

INDEPENDENT
FROM SENSOR
TO SENSOR.

NOISE POWER AT OUTPUT :

$$\mathbb{E}\{\underline{w}^H \underline{n} \underline{n} \underline{w}\} = \underline{w}^H \mathbb{E}\{\underline{n}\underline{n}^H\} \underline{w}$$

$$= \underline{w}^H \sigma_n^2 \mathbf{I} \underline{w}$$

$$= \sigma_n^2 \underline{w}^H \underline{w}$$

$$\text{SNR GAIN : } \frac{\text{SNR - OUTPUT}}{\text{SNR - INPUT (SINGLE SENSOR)}} = \frac{\frac{1}{\sigma_n^2 \underline{w}^H \underline{w}}}{\frac{1}{\sigma_n^2}}$$

ARRAY GAIN VS. WHITE NOISE

(NOISE INDEPENDENT FROM SENSOR TO SENSOR)

UNITY GAIN CONSTRAINT : $\underline{w}^H \underline{v}_k(k_T) = 1$
IN LOOK DIRECTION

INPUT AT A SENSOR : $f_n(t) = c e^{j\omega t - jk^T \underline{r}_n} + \text{noise}$

NOISE : σ_w^2 POWER

SIGNAL AT OUTPUT : $\underline{w}^H \underline{v}_k(k_T) = 1$

NOISE AT OUTPUT : ~~$\underline{w}^H \underline{v}_k(k_T)$~~
 $= \underline{w}^H \underline{n}$

$$\text{GAIN} = A_w = \frac{1}{\underline{w}^H \underline{w}}$$

UNIFORM WEIGHTING:
 N

$$A_w \leq N$$

WHITE NOISE GAIN ALWAYS $\leq N$

$$T_{SE} = \text{SENSITIVITY} = A_w^{-1}$$

FXN