

ECE 754

- * TURN IN PS 1
- * PICK UP 1 HANDOUT
- * DO IN-CLASS PROBLEM (NOT A QUIZ - CAN USE NOTES, ETC.)

LECTURE #2 ECE 754 KRW 1/23/04

IN-CLASS PROBLEM

SUPPOSE THAT YOU ARE UNRAILING WITH A WIRELESS SOUNDBOARD ARRAY. IT HAS 25 SENSORS WITH A 2 METER SPACING. YOU WANT TO RECEIVE APPROXIMATE SIGNALS WITH CENTER FREQUENCY OF 75 MHz. THE SPEED OF SOUND UNDERWATER IS 1500 M/S.

a) ASSUMING A UNIFORMALLY WEIGHTED ARRAY, WHAT IS THE MAXIMUM NUMBER OF THE FREQ-WAVENUMBER RESPONSES YOU CAN ACQUIRE IN TERMS OF k_z ?

M.L. WIDTH = $\frac{4\pi}{dN} = \frac{4\pi}{2.25 \cdot 50} = \frac{4\pi}{112.5}$

NO ALIASING: $d \leq \frac{\lambda}{2} = \frac{c}{2 \cdot 75 \text{ MHz}} = \frac{20\text{m}}{2} = 10\text{m}$

b) SUPPOSE YOU WANT TO INCREASE THE RESOLUTION OF THE ARRAY, WHICH OF THE FOLLOWING WOULD YOU DO:

- 1) ADD SENSORS, KEEPING THE ORIGNAL LENGTH OF THE ARRAY (I.E. LENGTH OF CABLE SENSORS ARE 0) THE SAME
- 2) USE SAME NUMBER OF SENSORS, BUT SPREAD THEM OUT OVER A LONGER CABLE.

EQUATION: IS THERE A LIMIT TO # OF SENSORS AND/OR LENGTH OF CABLE THAT SHOULD BE USED?

LAST TIME: PLANEWAVE MODEL FOR SPATIAL SIGNALS

$$F(t, \mathbf{p}) = \iint F(\omega, \mathbf{k}) e^{j(\omega t - \mathbf{k}^T \mathbf{p})} d\omega d\mathbf{k}$$

TIME LOCATION $\mathbf{p} = \begin{bmatrix} x \\ y \\ z \end{bmatrix}$

MULTIDIMENSIONAL FOURIER SYNTHESIS

BASIS FUNCTIONS: PLANEWAVES

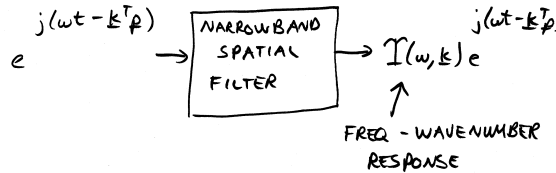
$$e^{j(\omega t - \mathbf{k}^T \mathbf{p})}$$

\mathbf{k} = WAVENUMBER

λ = WAVELENGTH

$$= \frac{-2\pi}{\lambda} u = \frac{-2\pi}{\lambda} \begin{bmatrix} \sin\theta \cos\phi \\ \sin\theta \sin\phi \\ \cos\theta \end{bmatrix}$$

SPATIAL FILTERING:



FREQ - WAVENUMBER RESPONSE FOR SENSOR ARRAY:

$$I(\omega, \mathbf{k}) = \mathbf{w}^H \mathbf{v}_k(\mathbf{k})$$

\mathbf{w} = WEIGHT VECTOR

$\mathbf{v}_k(\mathbf{k})$ = ARRAY MANIFOLD VECTOR "REPLICA VECTOR"

LAST TIME: LINEAR, EQUAL-SPACED ARRAY

$$r_n = (n - \frac{N-1}{2})d$$

$$I(\omega, \mathbf{k}) = \frac{1}{N} \frac{\sin(\frac{Nk_z d}{2})}{\sin(\frac{k_z d}{2})}$$

EXTEND RESULTS TO CONTINUOUS APERTURE:

MEASUREMENTS ON CONTINUUM

$$-\frac{L}{2} \leq z \leq \frac{L}{2}$$

MEASURE NB SIGNAL $F(\omega, \mathbf{z})$

FREQ - WAVE# RESP. FOR APERTURE

$$I_a(\omega, \mathbf{k}_z) = \int_{-\frac{L}{2}}^{\frac{L}{2}} w_a^*(z) e^{-jk_z z} dz$$

$w_a(z)$ = CONTINUOUS APERTURE WEIGHTING FXN



$I_a(\omega, \mathbf{k}_z)$ = SPATIAL FOURIER TRANSFORM OF $w_a^*(z)$

SPATIAL FREQ. RESP. OF APERTURE

CONSIDER: UNIFORMLY WEIGHTED APERTURE

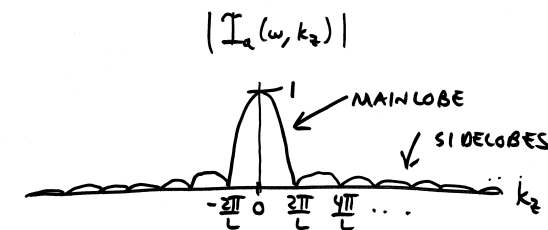
EX) $w_a(z) = \begin{cases} \frac{1}{L} & |z| \leq \frac{L}{2} \\ 0 & \text{OTHERWISE} \end{cases}$

$$I_a(\omega, k_z) = \int_{-\frac{L}{2}}^{\frac{L}{2}} \frac{1}{L} e^{-jk_z z} dz = \frac{1}{L} \int_{-\frac{L}{2}}^{\frac{L}{2}} e^{-jk_z z} dz$$

$$= \frac{-1}{jk_z L} \left[e^{-jk_z \frac{L}{2}} - e^{jk_z \frac{L}{2}} \right]$$

$$= \frac{\sin(\frac{k_z L}{2})}{\frac{k_z L}{2}} = \text{sinc}\left(\frac{k_z L}{2}\right)$$

$\text{sinc}(x) = \frac{\sin(x)}{x}$



1ST ZERO CROSSING

$$\frac{k_z L}{2} = \pi M$$

$$k_z = \frac{2\pi M}{L}$$

HEIGHT AT 0

L'HOPITAL'S

$$\left. \frac{\frac{d}{dk_z} (\sin(\frac{k_z L}{2}))}{\frac{d}{dk_z} (\frac{k_z L}{2})} \right|_{k_z=0} = 1$$

WHAT DETERMINES RESOLUTION OF THIS APERTURE?

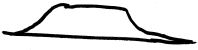
ML WIDTH = $\frac{4\pi}{L}$

AS $L \rightarrow$ INCREASES, RESOLUTION GETS BETTER


SEPARATING PLANEWAVES IN SPACE IS ANALOGOUS TO SEPARATING SINUSOIDS IN TIME

TO SEPARATE SINUSOIDS, TAKE LONG TIME SAMPLES

IF WE INCREASE L , HOW DO SIDELOBES CHANGE?
 WIDTH BETWEEN S.L. RIPPLES NARROWS
 SPACE BETWEEN RIPPLES CHANGES
 PEAK S.L. HEIGHT DOES NOT
 ONLY WAY TO CHANGE S.L. STRUCTURE IS TO CHANGE $w_a(z)$
 SMOOTHER



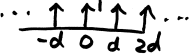
WE CAN VIEW AN ARRAY AS SAMPLED APERTURE



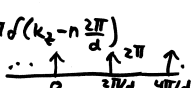
TAKE N SAMPLES (N ODD)
 SAMPLE SPACING = d

FROM PS1: MODEL FOR SAMPLING
 MULT. BY IMPULSE TRAIN

$w[n] = w_a(z) \sum_{n=-\infty}^{\infty} \delta(n - dz)$



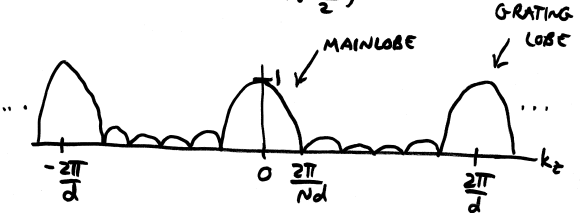
$I(\omega, k_z) = \frac{1}{2\pi} I_a(\omega, k_z) * \sum_{n=-\infty}^{\infty} \delta(k_z - n \frac{2\pi}{d})$



$I(\omega, k_z) = \sum_{n=-\infty}^{\infty} I_a(\omega, k_z - \frac{2\pi}{d}n)$

↑ COPY OF I_a EVERY $\frac{2\pi}{d}$

$= \frac{1}{N} \frac{\sin(\frac{Nk_z d}{2})}{\sin(\frac{k_z d}{2})}$



SAMPLED APERTURE HAS PERIODIC STRUCTURE

GRATING LOBES: REGULAR INTERVALS

k_z -SPACE: EVERY $\frac{2\pi}{d}$ SPATIAL SAMPLE FREQ.

ψ -SPACE: EVERY 2π $\psi = -k_z d$

u_z -SPACE: EVERY $\frac{1}{d}$ $u_z = \frac{-1}{2\pi} k_z$

SPATIAL SPECTRUM IS BANDLIMITED:

VISIBLE REGION: $-\frac{2\pi}{\lambda} \leq k_z \leq \frac{2\pi}{\lambda}$

B.L. TO $\frac{2\pi}{\lambda}$

NYQUIST: SAMPLE FREQ > 2 (HIGHEST)

$\frac{2\pi}{d} > 2 \left(\frac{2\pi}{\lambda}\right)$

$d < \frac{\lambda}{2}$

TO HAVE AN APERTURE W/ SAME M.L. WIDTH AS A LINEAR ARRAY

$\frac{2\pi}{L} = \frac{2\pi}{Nd}$

$L = Nd$ $L =$ APERTURE LENGTH
 $(N-1)d =$ LENGTH OF ARRAY

AS $d \rightarrow 0$: ARRAY BECOMES AN APERTURE

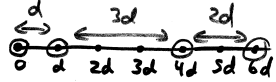
SUMMARIZE: SAMPLING ANALYSIS PERIODIC SAMPLING RESULTS IN

- GRATING LOBES AT $\frac{2\pi}{d}$ IN k_z
- NO ALIASING IF $d < \frac{\lambda}{2}$
- RESOLUTION: ML WIDTH INVERSELY PROPORTIONAL TO LENGTH

NON-UNIFORM SPACING

IF THERE IS AN UNDERLYING GRID THEN, WE'LL GET GRATING LOBES AT $\frac{2\pi}{d_{GRID}}$

CO-ARRAY



AVOID GRATING LOBES + STILL HAVE LONG APERTURE W/ NON-UNIFORM SPACING

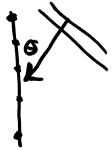
ARRAY STEERING

TWO OPTIONS:

- MECHANICAL STEERING: ROTATE ARRAY SO DESIRED SIGNAL IS AT $\theta = \pi/2$
- ELECTRICAL STEERING: CHANGE \underline{w} SO THAT M.L. OF $I(\omega, \underline{k})$ SHIFTS TO $\underline{k}_T =$ DESIRED WAVE #

HOW TO CHANGE WEIGHTS

$\underline{w} = \underline{v}_k(\underline{k}_T)$ SPATIAL MATCHED FILTER



TIME ALIGN + THEN
ADD UP
TIME SHIFT + ADD

NB SIGNAL: TIME-SHIFT \rightarrow PHASE SHIFT
 $e^{j\omega t}$

CALCULATED PHASE SHIFTS LAST TIME
 $e^{+jk_T r}$

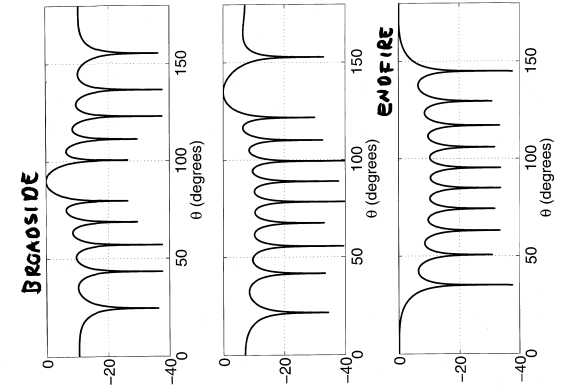
$$\Rightarrow \underline{w} = \frac{1}{N} \underline{v}_k(k_T) \quad k_T = \text{STEERING DIRECTION}$$

RESULTING FREQ - WAVE# RESP.

$$\begin{aligned} I_{\text{STEER}}(\omega, k) &= I(\omega, k | k_T) = \underline{w}^H \underline{v}_k(k) \\ &= \frac{1}{N} \underline{v}_k^H(k_T) \underline{v}_k(k) \quad \text{CONVENTIONAL BEAMPATTERN} \\ &= I(\omega, k - k_T) \end{aligned}$$

\uparrow SIMPLE SHIFT OF ORIGINAL FREQ - WAVE# FXN FOR A LINEAR ARRAY:

$$\begin{aligned} B_{\text{STEER}}(k_z | k_T) &= B(k_z - k_T) \quad \leftarrow \text{SIMPLE SHIFTS} \\ B_{\text{STEER}}(\psi | \psi_T) &= B(\psi - \psi_T) \\ B_{\text{STEER}}(u_z | u_T) &= B(u_z - u_T) \quad \left[\text{NOT TRUE } \nabla \theta! \right] \end{aligned}$$

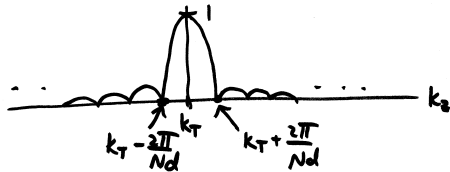


NONLINEAR SCALING:

$$k_z = -\frac{2\pi}{\lambda} \cos \theta$$

$$\theta = \arccos\left(-\frac{\lambda}{2\pi} k_z\right)$$

PLOT IN k_z , STEERED PATTERN:



$$k_{\text{null}} = k_T \pm \frac{2\pi}{Nd}$$

$$\begin{aligned} -\frac{2\pi}{\lambda} \cos(\theta_{\text{null}}) &= -\frac{2\pi}{\lambda} \cos(\theta_T) \pm \frac{2\pi}{Nd} \\ \bar{\theta} &= \frac{\pi}{2} - \theta \quad \left[\begin{array}{c} \theta \\ \hline \bar{\theta} \end{array} \right] \\ \cos(\theta) &= \sin(\bar{\theta}) \\ \rightarrow -\frac{2\pi}{\lambda} \sin(\bar{\theta}_{\text{null}}) &= -\frac{2\pi}{\lambda} \sin(\bar{\theta}_T) \pm \frac{2\pi}{Nd} \\ \bar{\theta}_{\text{null}} &= \bar{\theta}_T \pm (\Delta\theta) \end{aligned}$$

$$\sin(\bar{\theta}_T \pm \Delta\theta) = \sin(\bar{\theta}_T) \pm \frac{\Delta\theta}{Nd}$$

FIND FORMULA FOR $\pm \Delta\theta = \bar{\theta}_T - \arcsin\left(\sin(\bar{\theta}_T) \pm \frac{\Delta\theta}{Nd}\right)$

2 CASES: BROADSIDE

$$\bar{\theta}_T = 0$$

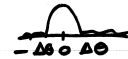
$$\sin(0 \pm \Delta\theta) = \sin(0) \pm \frac{\Delta\theta}{Nd}$$

$$\sin(\pm \Delta\theta) = \pm \frac{\Delta\theta}{Nd}$$

$$\Delta\theta = \arcsin\left(\frac{\Delta\theta}{Nd}\right)$$

$$\Delta\theta \approx \frac{\Delta\theta}{Nd}$$

SMALL ANGLE APPROX.



CASE 2: ENDFIRE $\bar{\theta}_T = \pi/2$

$$\sin\left(\frac{\pi}{2} \pm \Delta\theta\right) = \sin\left(\frac{\pi}{2}\right) \pm \frac{\Delta\theta}{Nd}$$

$$\sin\left(\frac{\pi}{2}\right) \cos(\Delta\theta) \pm \cos\left(\frac{\pi}{2}\right) \sin(\Delta\theta) = \sin\left(\frac{\pi}{2}\right) \pm \frac{\Delta\theta}{Nd}$$

$$\cos(\Delta\theta) = 1 \pm \frac{\Delta\theta}{Nd}$$

$$1 - \cos(\Delta\theta) = \pm \frac{\Delta\theta}{Nd}$$

TRIG: $1 - \cos(\alpha) = 2 \sin^2\left(\frac{\alpha}{2}\right)$

$$2 \sin^2\left(\frac{\Delta\theta}{2}\right) = \pm \frac{\Delta\theta}{Nd}$$

$$\sin\left(\frac{\Delta\theta}{2}\right) = \sqrt{\frac{\Delta\theta}{2Nd}}$$

SMALL ANGLE: $\sin(x) \approx x$

$$\frac{\Delta\theta}{2} \approx \sqrt{\frac{\Delta\theta}{2Nd}}$$

$$\Delta\theta \approx \sqrt{\frac{2\lambda}{Nd}}$$

SUMMARY: BROADSIDE: $\Delta\theta \approx \frac{\lambda}{Nd}$

ENDFIRE: $\Delta\theta \approx \sqrt{\frac{2\lambda}{Nd}}$

BETTER RESOLUTION AT BROADSIDE

DIRECTIVITY

$$P(\theta, \phi) = \text{POWER PATTERN} = |B(\omega, \theta, \phi)|^2$$

$$D = \frac{P(\theta_T, \phi_T)}{\frac{1}{4\pi} \int_0^\pi d\theta \int_0^{2\pi} d\phi \sin\theta P(\theta, \phi)}$$

NORMALIZE SO $P(\theta_T, \phi_T) = 1$

STANDARD LINEAR ARRAY: EQUAL-SPACING

$$D = \frac{1}{\|\underline{w}\|^2} \quad \text{MAXIMIZED FOR UNIFORM WEIGHTING}$$

$$E\{\underline{n}\} = 0$$

$$E\{\underline{n}\underline{n}^H\} = \sigma_n^2 \mathbf{I} \quad \text{INDEPENDENT FROM SENSOR TO SENSOR.}$$

NOISE POWER AT OUTPUT:

$$E\{\underline{w}^H \underline{n} \underline{n}^H \underline{w}\} = \underline{w}^H E\{\underline{n}\underline{n}^H\} \underline{w}$$

$$= \underline{w}^H \sigma_n^2 \mathbf{I} \underline{w}$$

$$= \sigma_n^2 \underline{w}^H \underline{w}$$

$$\text{SNR GAIN: } \frac{\text{SNR - OUTPUT}}{\text{SNR - INPUT (SINGLE SENSOR)}} = \frac{\frac{1}{\sigma_n^2} \underline{w}^H \underline{w}}{\frac{1}{\sigma_n^2}}$$

ARRAY GAIN VS. WHITE NOISE
(NOISE INDEPENDENT FROM SENSOR TO SENSOR)

UNITY GAIN CONSTRAINT: $\underline{w}^H \underline{v}_k(k_T) = 1$
IN LOOK DIRECTION

INPUT AT A SENSOR: $f_n(t) = c e^{j\omega t - jk_T^T \underline{r}_n} + \text{Noise}$

NOISE: σ_n^2 POWER

SIGNAL AT OUTPUT: $\underline{w}^H \underline{v}_k(k_T) = 1$

NOISE AT OUTPUT: ~~$\underline{w}^H \underline{n}$~~
 $= \underline{w}^H \underline{n}$

$$\text{GAIN} = A_w = \frac{1}{\underline{w}^H \underline{w}}$$

UNIFORM WEIGHTING: ~~\underline{w}~~
 \underline{w}

$$A_w \leq N$$

WHITE NOISE GAIN ALWAYS $\leq N$

$$T_{SE} = \text{SENSITIVITY} = A_w^{-1}$$